



PATENT APPLICATION

OUR FILE NO. 2003-1936.ORI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re App : Jonathan Thompson et al. December 12, 2003  
S.N. : 10/688,617  
Filed : October 17, 2003  
For : FILTER APPARATUS  
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Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER RULE 1.56**

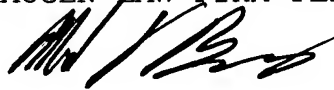
This Information Disclosure Statement is being filed in accordance with the following provision(s):

- ☒ [X] 37 CFR 1.97(b)(1) Within three months of the filing date of the national application. No fee is required.
- ☐ [ ] 37 CFR 1.97(b)(2) Within three months of the date of entry of the national stage as set forth in § 1.491 in the international application. No fee is required.
- ☒ [X] 37 CFR 1.97(b)(3) Before the mailing date of a first Office Action on the merits. No fee is required.
- ☐ [ ] 37 CFR 1.97(c) After the periods specified in 37 CFR 1.97(b), but before the mailing date of either: (1) a final action under § 1.113 or (2) a notice of allowance under § 1.311, whichever occurs first.

No preliminary patentability search was conducted prior to the filing of the instant application, and at the present time, applicants are not aware of any relevant prior art which would be citable pursuant to the requirements of 37 C.F.R. 1.56.

Respectfully submitted

HAUGEN LAW FIRM PLLP

A handwritten signature in black ink, appearing to read 'Mark J. Burns', is written over the printed name.

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# CERTIFICATE OF MAILING

I hereby certify that the foregoing Information Disclosure Statement Under Rule 1.56 in application Serial No. 10/688,617, filed October 17, 2003 by Inventor Jonathan Thompson et al, entitled ``FILTER APPARATUS'' is being deposited with the United States Postal Service as First Class mail, postage prepaid, in an envelope addressed to: Commissioner of Patents and Trademarks, P.O. Box 1450, Alexandria, VA 22313-1450, on this 12<sup>th</sup> day of December 2003.



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Denise L. Siede  
Secretary to Mark J. Burns  
Attorney for Applicants

Date of Signature: December 12, 2003